Federal Vaccine Mandates in Response to COVID-19

Following the development, authorization, and initial distribution of safe and effective COVID-19 vaccines (e.g., Pfizer®, Moderna®, Johnson & Johnson®), the Biden Administration initiated a series of federal vaccine mandates in 2021 affecting tens of millions of Americans.

The legal premise underlying vaccine mandates is simple. Mandates set conditions (e.g., access to services, work status) on an individual’s vaccination status or, alternatively, their participation in COVID-19 testing or other preventive measures. Despite misconceptions, no one is physically compelled to be inoculated pursuant to federal or state vaccine mandates. Rather, each person’s decision to be vaccinated is tied to their continued participation in specific activities or engagement in particular services. Persons who may be medically compromised via vaccination are automatically exempted. Others may claim exemption from vaccination for religious or select, other reasons.

State and local governments have issued multiple types of vaccine mandates during the COVID-19 pandemic. Some of these mandates have been directly challenged, although most courts approve state- or local-based authority. For more information, see the Network’s memo, COVID-19 Vaccination Mandates: Recent Court Cases.

Federal COVID-19 vaccine mandates have generated significant legal controversies from their inception. Although federal vaccine requirements have been issued prior to the pandemic, the scope of existing COVID-19 mandates has been directly opposed by federal, state, and local officials, as well as private sector entities and individuals. Dozens of cases challenging federal vaccine mandates have been filed in courts nationally.

This fact sheet lists and briefly explains the slate of federal COVID-19 vaccine mandates along with a “snapshot” assessment of their current legal status based on select case outcomes. Ongoing litigation surrounding premier federal vaccine mandates (see Figure below) has been highly dynamic and subject to change.
## Figure. Legal Snapshot of 3 Major Federal Vaccine Mandates

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<thead>
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<th>CATEGORY</th>
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<td>OSHA Emergency Temporary Standard</td>
<td>Employers with 100 or more employees</td>
<td><strong>NFIB v. OSHA &amp; Ohio v. OSHA</strong> (U.S. S. Ct.) (1/13/22) (halting enforcement)</td>
<td>Blocked by Supreme Court on January 13, 2022; later withdrawn by OSHA.</td>
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<td><strong>In re MCP No. 165, OSHA Covid Rule</strong> (6th Cir.) (12/17/21) (rejecting legal challenges)</td>
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<td><strong>Florida v. HHS</strong> (11th Cir.) (12/6/21) (rejecting legal challenges)</td>
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<td>CMS Health Care Workers Rule</td>
<td>All workers at CMS-funded facilities</td>
<td><strong>Biden v. Missouri &amp; Becerra v. Louisiana</strong> (U.S. S. Ct.) (1/13/22) (allowing enforcement)</td>
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<td><strong>Florida v. HHS</strong> (11th Cir.) (12/6/21) (rejecting legal challenges)</td>
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<td>Federal Contractor Rule</td>
<td>All federal contractors (as defined below)</td>
<td><strong>Missouri v. Biden</strong> (U.S. Dist. Ct.) (11/29/21) (halting enforcement)</td>
<td>Enforcement on hold.</td>
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<td><strong>Kentucky v. Biden</strong> (U.S. Dist. Ct.) (11/30/21) (halting enforcement)</td>
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<td><strong>Georgia v. Biden</strong> (U.S. Dist. Ct.) (12/7/21) (halting enforcement)</td>
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### OSHA Emergency Temporary Standard

**OSHA’s Emergency Temporary Standard (ETS)**, issued on November 5, 2021, required all large employers with 100 or more employees to ensure their employees either were vaccinated against COVID-19 or underwent weekly testing. OSHA’s ETS explicitly allowed for medical or religious-based exemptions. Additional specific information on OSHA’s ETS is available in the Network guidance document: [Guidance: OSHA’s COVID-19 Vaccination Mandate Standard for Large Employers](#).

**Legal Status:** OSHA’s ETS was immediately challenged (even prior to its formal introduction) in federal courts. On November 6, 2021, the 5th Circuit Court of Appeals blocked the ETS nationwide through a temporary stay. Additional challenges in multiple federal courts were consolidated into one appeal before the 6th Circuit Court of Appeals. On December 17, the 6th Circuit, in a 2-1 decision, lifted the 5th Circuit’s stay and allowed the ETS to go into effect.

Following numerous requests for emergency relief from enforcement of OSHA’s ETS, the U.S. Supreme Court scheduled a special hearing for January 7, 2022, to assess the legality of the standard, as well as the Centers
for Medicare and Medicaid Services (CMS) vaccine mandate for health care workers (discussed below). On January 13, 2022, the Supreme Court blocked the ETS from going into effect. In a 6-3 decision, the Court held that opponents of the ETS were likely to succeed on their claims that the agency exceeded its authority. OSHA withdrew the ETS formally on January 26, 2022. On February 18, the 6th Circuit Court of Appeals dismissed its case as moot.

CMS Vaccine Mandate

CMS' Interim Final Rule (CMS' Rule), issued on November 5, 2021, requires workers (including students, people in training, volunteers, and others) at Medicare- and Medicaid-certified facilities to be vaccinated against COVID-19 within 60 days of its issuance. Unlike with OSHA’s ETS, above, there was no testing alternative. CMS' Rule expressly allowed for medical or religious exemptions.

Legal Status: Following a Missouri district court's prohibition on enforcement of CMS’s Rule in 10 states on November 29, 2021, and a Louisiana-based federal district court's similar prohibition a day later, CMS suspended "activities related to the implementation and enforcement of [the mandate] pending future developments in the litigation." On December 1, HHS appealed the Louisiana district court’s decision. On December 6, the 11th Circuit Court of Appeals rejected Florida’s request to block the mandate. As discussed above, the Supreme Court held a special hearing on January 7, 2022, to assess the legality of the OSHA ETS and CMS Rule. On January 13, the Court upheld CMS’ Rule in a 5-4 decision. It determined that the mandate fell within HHS’ conditional spending authority, allowing it to take effect nationwide.

Despite the Supreme Court’s ruling, on February 8, 2022, 16 states, led by Louisiana, filed an amended complaint, again challenging the CMS Rule. The amended complaint argued that evolving circumstances warranted a new review of the basis on which CMS framed its mandate. Among the identified changing circumstances was how the mandate does not effectively combat the Omicron variant. At the time, most states had already passed their vaccination deadline for the first dose, and the remaining states were set to surpass them later in February. On March 4, the full 5th Circuit Court of Appeals denied a request to amend the lawsuit, holding that doing so would alter the status of the appeal, with 10 of the 17 judges voting against rehearing the case. On June 6, 2022, oral arguments were held in the 5th Circuit. The court indicated that it would vacate the lower court’s decision and remand the case for further proceedings.

Despite wide adoption of CMS’ mandate and consensus of its constitutionality, dissidents remain. In a symbolic vote on March 2, the U.S. Senate voted to roll back the CMS mandate. Later that month, Kansas Governor Laura Kelly announced that state regulators would not enforce the mandate at hospitals and other health care facilities. CMS subsequently sent a letter to the governor’s office threatening to cut funding and increase federal regulatory presence there. As of May 30, 2022, 69 hospitals nationally have been identified as noncompliant with the mandate.

Federal Contractor Rule

On September 9, 2021, President Biden issued Executive Order 14042, mandating COVID-19 vaccination for federal contractors and subcontractors by January 18, 2022. The mandate applied to contractors (and their workers) for all "new contract[s], contract-like instrument[s]," and "extension or renewal[s] of an existing contract or contract-like instrument" for services, construction, or a lease. There was no alternate testing option, but medical and religious exemptions were permitted.

Legal Status: On November 29, a federal district Court in Missouri blocked enforcement of the rule for employees of federal contractors in Alaska, Arkansas, Iowa, Missouri, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, and Wyoming. On November 30, a federal district court in Kentucky blocked
enforcement of the rule in Kentucky, Ohio, and Tennessee. This ruling was appealed. On January 6, 2022, the 6th Circuit Court of Appeals refused to block the district court ruling. On December 7, a federal judge in Georgia granted a preliminary injunction, blocking enforcement nationwide. Two days later on December 9, the U.S. Department of Justice filed a notice of appeal to the 11th Circuit, as well as an emergency motion to stay the injunction, which the court subsequently rejected on December 17. On December 15, a judge in Louisiana blocked the mandate in Louisiana, Mississippi, and Indiana. The Justice Department appealed that ruling to the 5th Circuit on January 11. Another federal district court in Arizona enjoined enforcement of the mandate on January 27, 2022.

Oral arguments took place in the 11th Circuit appeal on April 4, 2022, during which a three-judge panel indicated that the federal government had a “high bar” to clear to overturn the lower court’s injunction. Until the Circuit Courts rule on the appeals, enforcement of the mandate remains on hold nationwide. With the legal status of the federal contractor vaccine mandate unclear, the Office of Management and Budget issued guidance stating the federal government would not enforce it. Additionally, the Department of Defense instructed all contracting officers not to include vaccination clauses in existing contracts and orders.

**Federal Employees**

On September 9, 2021, President Biden issued Executive Order 14043, requiring all federal employees to be fully vaccinated by November 22, 2021. There was no testing alternative, but medical and religious exemptions were permitted.

**Legal Status:** On January 21, 2022, a federal judge in Texas issued a nationwide injunction, blocking the federal employee mandate from going into effect. The court, relying on the Supreme Court’s reasoning in the OSHA ETS case (see above), found that the challengers were likely to succeed on their arguments against the mandate. Primary among the arguments was that President Biden lacked constitutional or statutory authority to directly mandate employees be vaccinated as part of his Executive branch authority to regulate workplace conduct. On February 9, the 5th Circuit Court of Appeals, in a 2-1 panel decision, declined to block the lower court’s order barring the mandate, allowing the ban to continue during the appeals process.

A different three-judge panel of the 5th Circuit Court considered the case on March 8, 2022 assessing again whether to put aside the district judge’s nationwide injunction, or reinstate the mandate. Judges considered the impacts of outside factors including falling COVID-19 rates, mask mandate repeals, extremely high percentages of vaccinated federal employees, and other 5th Circuit decisions concerning vaccine mandates. On April 7, 2022, the panel ordered the district judge to throw out the challenge by May 31.

On May 23, 2022, challengers asked the full 5th Circuit Court of Appeals to reconsider the case. Four days later on May 27, several groups, including the America First Legal Foundation, filed Amicus Curiae Briefs supporting the petition. They purported that the panel majority wrongly held that the Civil Service Reform Act precludes a district court’s jurisdiction over the case, and that the decision must be overturned. While the 5th Circuit decides whether to accept the petition, the mandate to lift the lower court’s injunction remains unissued.

On April 11, the Department of Justice asked the 5th Circuit to take “appropriate steps so that the government may resume implementation and enforcement” of the executive order, arguing it is “justified by the serious ongoing harm to the public interest and to the government.” On April 19, the 4th Circuit held that the mandate could not be challenged in court because the Civil Service Reform Act removed courts’ ability to hear suits involving federal employment. Challenges would instead need to be resolved administratively.

The Safer Federal Workforce Task Force published guidance on how agencies should navigate the order during the injunction. Some federal agencies established their own policies. For example, HHS and the Indian
Health Service issued their own mandates in August 2021, one month before Biden’s E.O. All employees of the Veterans Health Administration were also subject to a vaccine mandate.

**Federal Military Mandate**

With support from President Biden, U.S. Secretary of Defense Lloyd Austin issued a memo on August 24, 2021 directing secretaries of military departments to implement plans to begin full vaccination of all members of the armed forces. Those who refuse the vaccine or are not otherwise entitled to an exception must be discharged from military service. On September 14, the Secretary of the Army issued a policy memorandum requiring active duty units to be fully vaccinated by December 15, and reserve and National Guard units to be fully vaccinated by June 30, 2022.

**Legal Status:** On December 27, President Biden signed a defense budget bill that included a provision prohibiting military branches from dishonorably discharging any member who refused vaccination on religious or other grounds, requiring honorable discharge instead. While the vast majority of the active federal military is now vaccinated, National Guard units have not all followed suit. Several governors have refused to order vaccination for their states’ National Guard. Texas Governor Greg Abbott filed a lawsuit against the Biden administration on January 4, 2022. A legal challenge by Oklahoma Governor Kevin Stitt to enjoin enforcement of the vaccination mandate for the state National Guard was rejected on December 28 by a federal district court in Oklahoma. On January 3, 2022 a federal judge in Texas forbade the Navy from taking “any adverse action” against Navy service members who have declined the vaccine and are requesting an exemption.

On February 28, the 5th Circuit Court of Appeals upheld the injunction as applied to the Navy members. On March 7, the Biden administration ceased enforcement of the mandate against Navy service members alleging religious beliefs violations. The federal government argued that the inability to enforce the mandate infringed on military decision-making in deployment decisions. On March 25, the U.S. Supreme Court stayed the Texas federal district court’s order, allowing the Navy to limit deployment, training, and other activities for service members declining vaccines on religious grounds. Similarly, on April 19, the Supreme Court declined to halt the Air Force from disciplining unvaccinated reservists, keeping the mandate in place. On April 28, a Georgia federal judge allowed a U.S. Air Force officer to transform her individual claim against the mandate into a proposed class action. Following the nationwide injunction of the federal employee mandate (discussed above), the Department of Defense announced that it would not be enforcing the mandate for civilian military employees while the challenge is litigated.

On February 1, 2022 Defense Secretary Austin rejected a request among 7 Republican governors (Alaska, Oklahoma, Texas, Idaho, Mississippi, Nebraska, and Wyoming) for exemptions from vaccination mandates for their states’ National Guard troops. He repeated how failure to vaccinate will result in prohibition on participating in drills, training, and other military duties, and will “jeopardize the member’s status in the National Guard.” In March, the Biden administration asked a federal court in Oklahoma to dismiss the state’s lawsuit over the National Guard mandate. The Army and Marines began dismissing service members who refused vaccination. Responding to forcible discharges of unvaccinated service members, one U.S. Representative introduced a bill in May 2022 to reinstate military personnel who had refused the vaccine.

Multiple lawsuits have been filed in federal courts challenging denied religious exemptions. On May 31, 2022, for example, 9 U.S. Air Force servicemembers filed a class action lawsuit in a Texas federal district court, alleging rights violations stemming from revoked duties and denied promotions relating to refusals to be vaccinated. The complaint alleged that religious accommodation requests were systematically denied.
Head Start Mandate

The federal Head Start Program Performance Standards requires all teachers, volunteers, and contractors working with Head Start, a federally-funded program promoting education for children from low-income families under age 6, to be fully vaccinated for COVID-19 by January 31, 2022. Medical and religious exemptions were permitted, but there was no alternative testing requirement as per OSHA’s former ETS (discussed above).

**Legal Status:** On December 31, 2021, a federal judge in Texas suspended the vaccine mandate for Head Start program participants in the state. On January 1, 2022, a federal judge in Louisiana held that the Biden administration cannot require Head Start teachers in 24 states to be vaccinated. The rule was preliminarily enjoined in 25 states pending resolution in court. On March 4, a federal judge in Michigan upheld the Head Start mandate as applied to 3 Michigan school districts. It refused to block the rule from taking effect later that month and upheld the Biden administration’s authority via HHS to implement a vaccine mandate for the program.

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